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Docket No.: E3331.0467/P467
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Edward Howorka et al.

Application No.: 09/602,498

Art Unit: 3624

Filed: June 23, 2000

Examiner: R. C. Weisberger

For: ARCHITECTURE FOR AN ANONYMOUS
TRADING SYSTEM

AMENDMENT IN RESPONSE TO NON-FINAL OFFICE ACTION

U.S. Patent and Trademark Office
220 20th Street S.
Customer Window, Mail Stop Amendment
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

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GROUP 3600

Dear Sir:

INTRODUCTORY COMMENTS

In response to the Office Action dated March 9, 2004 (Paper No. 9), please amend the above-identified U.S. patent application as follows:

Amendments to the Drawings begin on page 3 of this paper and include a reference to the replacement formal drawings sheets submitted .

Remarks/Arguments begin on page 4 of this paper.

FEE CALCULATION

Any additional fee required has been calculated as follows:

| | Claims Remaining After Amendment | Highest Number Previously Paid | Number Extra Claims Present | Rate | Additional Fee |
|---|---|---|--------------------------------------|---------|-------------------|
| Total | 31 | - 31 = | 0 | X 18.00 | \$0.00 |
| Independent | 3 | - 3** = | 0 | X 86.00 | \$0.00 |
| First presentation of Multiple Dependent Claim(s) (if applicable) | | | | | |
| TOTAL | | | | | \$0.00 |

*not less than 20

** not less than 3

No additional fee is required.

In the event a fee is required or if any additional fee during the prosecution of this application is not paid, the Patent Office is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 50-2215.

CONTINGENT EXTENSION REQUEST

If this communication is filed after the shortened statutory time period had elapsed and no separate Petition is enclosed, the Commissioner of Patents and Trademarks is petitioned, under 37 CFR 1.136(a), to extend the time for filing a response to the outstanding Office Action by the number of months which will avoid abandonment under 37 CFR 1.135. The fee under 37 CFR 1.17 should be charged to our Deposit Account No. 50-2215.